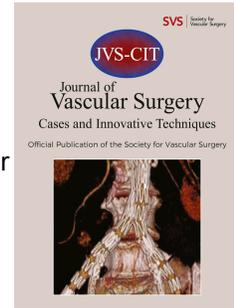


Journal Pre-proof

Real-time Assessment of Tissue Oxygen Saturation During Endovascular Therapy for Chronic Limb-threatening Ischemia Using a Novel Oximeter

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1 **Real-time Assessment of Tissue Oxygen Saturation During Endovascular Therapy for**
2 **Chronic Limb-threatening Ischemia Using a Novel Oximeter**

3

4 *Short title:* Monitoring regional oxygen saturation during endovascular treatment

5

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7 *Conflict-of-interest statement:* N.U. and M.N. have patents associated with TOE-20

8 (PCT/JP2020/039614; WO 2007/139192). The other authors report no conflicts of interest.

9

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14

15 *Ethical Considerations Statement*

16 Written informed consent was obtained from the patient for the publication of this case report

17 and accompanying images.

18

- 1 *Keywords:* endovascular treatment, regional oxygen saturation monitoring, near-infrared
- 2 spectroscopic oximeter, chronic limb-threatening ischemia, foot ulcer

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1 Abstract

2

3 This study introduces a novel real-time, near-infrared spectroscopy oximeter, “TOE-20,” that
4 can simultaneously measure regional tissue oxygen saturation (rSO₂) in the skin/subcutaneous
5 tissue at three angiosomes of the foot. Seven patients with chronic limb-threatening ischemia
6 (CLTI) who underwent successful revascularization of the superficial femoral artery were
7 included. The analysis revealed a significant correlation between rSO₂ values and skin perfusion
8 pressure (SPP). Following revascularization, both rSO₂ and SPP increased at the three regions,
9 although the increase at the plantar foot was insignificant. These results indicate that the TOE-20
10 can successfully monitor rSO₂ during endovascular treatment.

1 **Introduction**

2

3 Owing to the growing incidence of diabetes and renal insufficiency, the number of patients with
4 chronic limb-threatening ischemia (CLTI) has increased to more than 6 million globally.¹

5 Endovascular therapy (EVT) is a major treatment for CLTI, particularly for patients with a high
6 surgical risk.² Previously, the authors introduced a finger-mounted tissue oximeter that relies on

7 near-infrared spectroscopy (NIRS) techniques (Toccare: astem Co., Ltd., Kawasaki, Japan) to

8 assess ischemia severity in patients with peripheral artery disease (PAD).³ Subsequently, the

9 team developed an NIRS device to simultaneously facilitate intra-EVT monitoring of tissue

10 oxygenation at multiple sites. Herein, the authors introduce this novel device and present

11 preliminary results for the real-time monitoring of regional tissue oxygen saturation (rSO₂) in the

12 skin/subcutaneous tissue at three angiosomes of the foot during EVT.

13

14 **Methods**

15

16 **Study approval**

17 This study was approved by the Ethical Committee of Hamamatsu University School of

18 Medicine (approval number: 16-057). The study protocol was registered at the UMIN Clinical

1 Trials Registry (UMIN-CTR; ID: UMIN000025021) and Japan Registry of Clinical Trials
2 (CRB4180008). Written informed consent was obtained from all participants.

3 **Tissue oximeter**

4 The new NIRS oximeter (TOE-20, astem Co., Kawasaki, Japan) enables real-time monitoring of
5 rSO₂ (Supplement Figure 1A). The device's name, TOE, is an abbreviation for target region
6 oxygenation-based endovascular treatment, which was previously proposed as a new strategy for
7 EVT.⁴ The oximeter comprises three components: a small, box-shaped body (70×72×25 mm;
8 weight: 120 g), including a multiplexer, microcomputer, blue-tooth module, and two size-AA
9 batteries; three sensor probes with 40-cm long cables; and a tablet PC that displays measurement
10 results (Supplement Figure 1B). Each probe has near-infrared light emitting diodes (770 nm, 830
11 nm) and detectors (photodiodes) (Supplement Figure 1C). Supplement Figure 1D presents the
12 path length distribution obtained from simulation results. Supplement Figure 1E and 1F shows
13 the path length distribution superimposed on a typical magnetic resonance (MRI) image of the
14 foot. Supplement Figure 1G shows the equations used to calculate the concentrations of
15 oxyhemoglobin (O₂Hb) and deoxyhemoglobin (HHb).

16

17 **Application to patients**

1 Three sensor probes can be placed at the operator's discretion to monitor tissue perfusion.
2 Supplement Figure 2A and 2B show the placement of probes according to the angiosome model:
3 one on the dorsal aspect of the foot, one on the outer ankle (Supplement Figure 2A), and one on
4 the plantar aspect of the foot (Supplement Figure 2B). Supplement Figure 2C shows the actual
5 monitoring setup for measuring rSO₂ during EVT.
6

7 **Participants**

8 This prospective study included seven patients with CLTI who underwent successful
9 revascularization of the superficial femoral artery (SFA) without intervention in the tibial arteries
10 and five patients with CLTI who underwent failed EVT (four with failed tibial artery
11 intervention and one with failed SFA intervention) (Table I). All patients were categorized as
12 Rutherford classification 5 with intractable toe ulcers. Successful revascularization of the SFA
13 was defined as <30% residual stenosis of the target lesion on completion angiogram without
14 peripheral emboli. The rSO₂ values were measured within 0.5 seconds per point. Although rSO₂
15 was monitored continuously, the authors waited for 5 minutes to observe the effect of
16 revascularization after each procedure because values required a few minutes to stabilize (Figure
17 1A). Skin perfusion pressure (SPP) was also measured at the above-mentioned regions in the

1 ambulatory clinic before and after treatment using an SPP system (SensiLase PAD 3000,
2 Vasamed Eden Prairie, MN, USA).

3

4 **Statistical analysis**

5 The correlations between the rSO₂ and SPP values were analyzed using the nonparametric
6 Spearman rank correlation tests. Results are expressed as mean ± standard deviation. Paired t-
7 tests were used to compare rSO₂ and SPP values between pre- and post-revascularization at the
8 same sites. One-way analysis of variance and nonparametric Friedman tests with post hoc
9 Tukey's test were used to examine differences in the time course of rSO₂ values in the dorsal
10 foot among the seven patients. The level of statistical significance was set at P <0.05. (IBM
11 SPSS version 25.0 software, IBM Corp., Armonk, NY, USA)

12

13 **Results**

14 SFA revascularizations were successfully performed via endovascular interventions with balloon
15 angioplasty in five patients, a Viabahn stent-graft (W.L. Gore & Associates, Flagstaff, AZ, USA)
16 in one patient, and stent placement in one patient. In outflow arteries below the knee, completion
17 angiography revealed two run-off vessels in four patients and one run-off vessel in three patients.
18 Therefore, all seven patients had at least one straight-line flow on below-the-knee angiography.

1 Following revascularization, the sensor probes gradually responded to the increased blood flow
2 to increase rSO₂ to a stable value. There was a significant correlation between SPP and rSO₂
3 values ($p < 0.01$) (Figure 1B). After revascularization, both rSO₂ and SPP increased at the dorsal
4 foot and outer ankle (Figure 1C,D). Figure 1E shows the time course of rSO₂ values in the seven
5 patients on the day of hospitalization and at pre-EVT, post-EVT, and postoperative day (POD) 1.
6 By 3 months after EVT, all ulcers had healed in all seven patients. In contrast, there was no
7 significant increase in rSO₂ at any region in patients with failed EVT (Figure 1F).

8

9 **Discussion**

10 The present results demonstrate the utility of the TOE-20 for simultaneous monitoring of rSO₂ in
11 the skin/subcutaneous tissue in three angiosomes of the foot during EVT. Previous NIRS
12 oximeters focused on measuring oxygen levels in the brain or muscles at a depth of 10–20 mm
13 below the skin surface,⁵; however, these devices may not be able to measure oxygen levels in the
14 skin/subcutaneous tissue of the toe because the bones or tendons are present at depths less than
15 10 mm from the skin surface. In contrast, the TOE-20 is specifically designed to measure rSO₂ in
16 the skin and subcutaneous tissue. The superimposed MRI of the foot revealed that the TOE-20
17 reflects rSO₂ levels up to 5 mm under the skin surface and can measure rSO₂ of the skin and
18 subcutaneous tissue without the influence of bone. This characteristic is quite unique when

1 compared with those of other NIRS devices, which mainly measure cerebral oxygen levels using
2 an algorithm that diminishes the contribution of the skin and scalp.⁶ One of the biggest
3 advantages of the TOE-20 is the speed with which rSO₂ can be measured. Continuous
4 monitoring allows one to obtain the values almost instantly (within approximately 0.5 s), thereby
5 reducing the influence of the patient's bodily movements on measurements. Because high and
6 low rSO₂ areas are observed even within the same angiosome, peripheral tissue perfusion in the
7 foot in patients with CLTI (especially those with diabetes) is determined by the peripheral
8 microvascular blood flow in the skin and subcutaneous tissue.⁷ After revascularization, increases
9 in rSO₂ and SPP at the plantar foot were not significant. The lack of a patent pedal arch in some
10 patients may explain why plantar rSO₂ did not increase significantly after EVT. Because all
11 seven patients had toe ulcers, the authors assumed that rSO₂ in the dorsal foot most likely reflects
12 oxygenation in the toe ulcers.

13 The authors previously investigated the use of a finger-mounted oximeter that relies on the
14 same algorithm as the TOE-20 in 34 patients with CLTI without infection who underwent EVT.
15 All patients with rSO₂ ≥ 50 % in the dorsal foot on POD1 exhibited improved ulcer healing,
16 indicating that rSO₂ ≥ 50% may be a cut-off value for wound healing.⁸ Further studies with
17 longer observation periods are required to verify the cut-off value of rSO₂ for wound healing.

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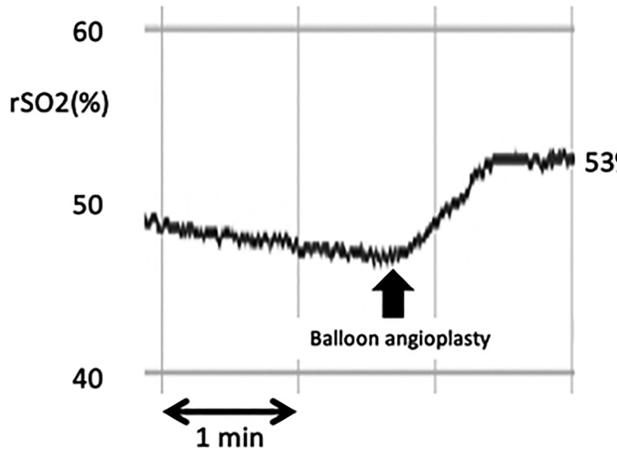
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Table I. Demographic and clinical characteristics of the included patients

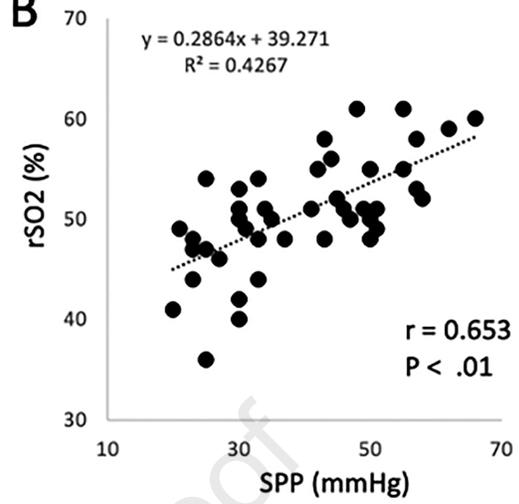
	Successful SFA revascularization	Failed EVT
Patients, n	7	5
Age, years, median, interquartile range	76, 62-85	74, 57-85
Men, n (%)	4 (57)	3 (60)
Hypertension, n (%)	5 (71)	6 (60)
Dyslipidemia, n (%)	3 (43)	6 (60)
Diabetes mellitus, n (%)	5 (71)	6 (60)
History of smoking, n (%)	5 (71)	8 (80)
End-stage renal disease, n (%)	4 (57)	6 (60)
Coronary artery disease, n (%)	2 (29)	6 (60)
Limbs, n	7	5
Location of ulcer		
Toe	7	4
Plantar foot	0	1
Outer ankle	0	0
Ankle-brachial index	0.63 ± 0.13	0.58 ± 0.25

SFA: superficial femoral artery, EVT: endovascular treatment

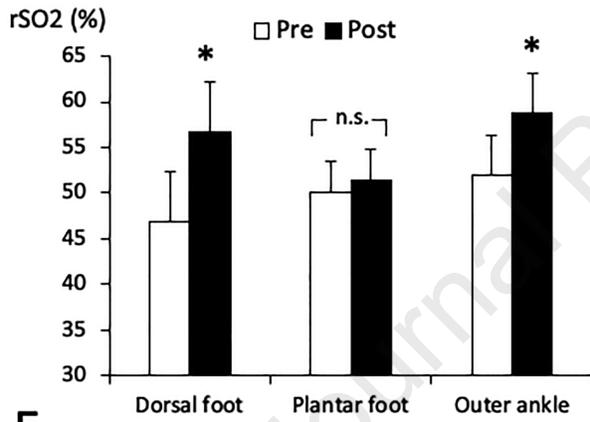
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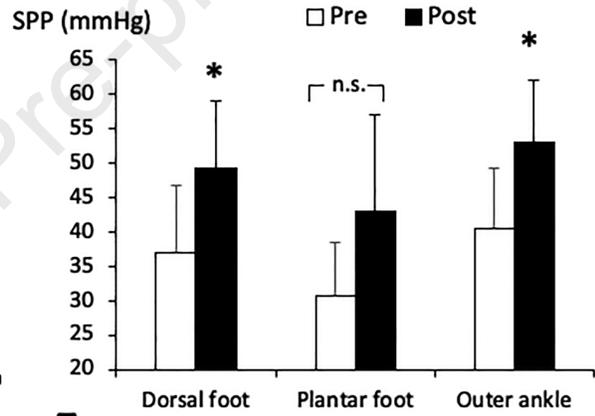
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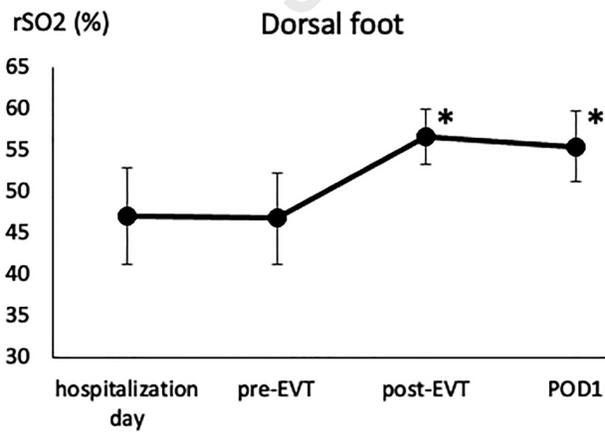
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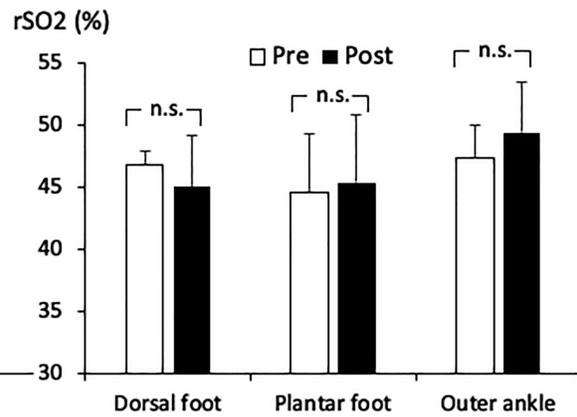


Figure Legends

Figure 1. Regional tissue oxygen saturation (rSO₂)

A. The rSO₂ curve of one patient during the endovascular procedure. The rSO₂ values stabilized after a few minutes.

B. Relationship between rSO₂ and SPP at the surface of the foot. A scatter plot of SPP and rSO₂ values ($P < .01$, $r = 0.653$). The values reflect data for all seven patients who underwent successful SFA revascularization via endovascular treatment.

C. Comparison of rSO₂ values at the dorsal foot, plantar foot, and outer ankle between pre- and post-EVT in seven patients. * indicates $p < 0.01$ in comparison with pre-EVT; n.s., not significant.

D. Comparison of SPP values at the dorsal foot, plantar foot, and outer ankle between pre- and post-EVT in seven patients. * indicates $p < 0.01$ in comparison with pre-EVT; n.s., not significant.

E. Changes in rSO₂ values at the dorsal foot in seven patients who underwent successful SFA EVT on the day of hospitalization and at pre-EVT, post-EVT, and postoperative day 1 (POD1). * indicates $p < 0.05$ in comparison with pre-EVT.

F. Comparison of rSO₂ values at the dorsal foot, plantar foot, and outer ankle between pre- and post-EVT in five patients who underwent failed EVT; n.s., not significant.

rSO₂, regional tissue oxygen saturation; SPP, skin perfusion pressure; SFA: superficial femoral artery.

Supplement Figure 1. Novel tissue oximeter TOE-20

- A. TOE-20.
- B. Engineering schematic for the TOE-20.
- C. Sensor probe of the TOE-20.
- D. Model for the Monte Carlo analysis and sensitivity distribution obtained via the simulation.
- E. Path length distribution superimposed on a typical magnetic resonance image of the foot.
- F. Magnified image of the area surrounded by the red-colored square in E. The depth of bone from the skin surface is 6.7 mm at the dorsum of the foot.
- G. The equations used to calculate the concentrations of oxyhemoglobin [O_2Hb] and deoxyhemoglobin [HHb].

Supplement Figure 2. TOE-20 application to patients

- A. Two sensor probes of the TOE-20 are attached to the dorsum of the foot and outer ankle of the angiosome model.
- B. Two sensor probes of the TOE-20 are attached to the plantar foot and the outer ankle of the angiosome model.
- C. Simultaneous measurement of regional tissue oxygen saturation (rSO_2) at the three angiosome sites of the foot using the TOE-20 in a patient with chronic limb threatening ischemia (CLTI).